

## IN THE

## UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** 

Gang Gu et al.

SERIAL NO.:

10/723,117

CONF. NO.:

1307

FILING DATE:

November 26, 2003

TITLE:

Nanotube Chemical Sensor Based on Work Function of Electrodes

**EXAMINER:** 

Kaj K. Olsen

ART UNIT:

1753

ATTY. DKT. NO:

PA2819US (formerly 006161.P001)

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

10/27/2004 HVUDNG1 00000016 10723117

01 FC:1806

180.00 OP

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is a list of co-pending applications, listing a reference. The Examiner is requested to make this reference of official record in the application. The reference cited may be material to examination of the application and

are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants hereby attach the \$180 fee required by 37 C.F.R. §1.17(p) with this submission. The Commissioner is hereby authorized to charge Deposit Account No. 06-0600 for any additional fees that may be required, or credit our account for any overpayment charge. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted, Gang Gu et al.

Dated:October 20, 2004

Robert D. Hayden, Reg. No. 42,645

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Dated: October 20, 2004

Robert D. Hayden/Reg. No. 42,645

OCT : 2 6 2004 6

PTO/SB/17 (10-04)
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Effective 10/01/2004. Patent fees are subject to annual revision.

✓ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

	400.00	
(\$)	180.00	

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Complete if Known						
Application Number	10/723,117					
Filing Date	November 26, 2003					
First Named Inventor	Gang Gu					
Examiner Name	Kaj K. Olsen					
Art Unit	1753					
Attorney Docket No	PA2819US					

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Credit card Money Other None				3. ADDITIONAL FEES					
Deposit Account:							Entity -		
Deposit	06-0600			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	00-0000			1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	Carr & Fe	rrell LLP	i	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	authorized to	. (abook all that apply)		1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments					2,520	1812	2,520	For filing a request for ex parte reexamination	
		s) or any underpayment of		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		low, except for the filing	fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-ide	•			1251	110	2251	55	Extension for reply within first month	
		ALCULATION		1252	430	2252	215	Extension for reply within second month	
1. BASIC FI Large Entity S				1253	980	2253		Extension for reply within third month	
Fee Fee	Fee Fee	Fee Description	Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
	Code (\$) 2001 395	Utility filing fee		1255	2,080	2255	1,040	Extension for reply within fifth month	
	2001 335	Design filing fee		1401	340	2401	170	Notice of Appeal	
	2003 275	Plant filing fee	<u> </u>	1402	340	2402		Filing a brief in support of an appeal	
	2004 395.	Reissue filing fee		1403	300	2403	150	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	s	UBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	
O EVERA			DEIGOLIE	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA C	CLAIM FEES	FOR UTILITY AND Fee from	n	1501	1,370	2501	685	Utility issue fee (or reissue)	
Total Claims		Extra Claims below	Fee Paid	1502	490	2502	245	Design issue fee	
Independent	-20			1503	660	2503	330	Plant issue fee	
Claims Multiple Deper	3** ndent	`*		1460	130	1460	130	Petitions to the Commissioner	
		<u> </u>	l	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	180
Code (\$)	Code (\$)	Claims in excess of 20		8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 88	2202 9 2201 44		excess of 3	1809	790	2809	9 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300	2203 150	Multiple dependent clai	m, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88	2204 44	** Reissue independen over original patent	claims	1801	790	2801	305	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	$\vdash$
1205 18	2205 9	** Reissue claims in ex		1802	900	1802	900	Request for expedited examination	
l '	•	and over original pate	;iii.	Other	fee (sp	ecify)		of a design application	
l		STOTAL (2) (\$)					Filina F	ee Paid SUPTOTAL (2) (th) 100 C	
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00									

SUBMITTED BY (Complete (if applicable))							(if applicable))
Name (Print/Type)	Robert D. Hayd	11	1	Registration No. (Attorney/Agent)	42,645	Telephone	650-812-3465
Signature	All I	Hay	<u> </u>			Date	October 20, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.